

QUALIFIED RETIREMENT PLAN TRANSFER OF ASSETS FORM

NEUBERGER BERMAN

- If you have any questions about completing this Application, please call Neuberger Berman Retail Services at 800.877.9700, Monday-Friday, from 9AM to 6PM Eastern Time.
- Use this Form only when transferring assets from an existing Qualified Retirement Plan Account to a Neuberger Berman BD LLC Qualified Retirement Plan. If you do not already have a Neuberger Berman BD LLC Qualified Retirement Plan, a New Account Application is also required. Neuberger Berman BD LLC will arrange the transfer for you. Please enclose a copy of your latest statement from your present Custodian/Trustee with this Transfer of Assets Form.
- Do not use this Form if you are transferring assets from an IRA. Please call us at 800.877.9700 to request an IRA Transfer of Assets Kit.
- Please return this Form to:

Regular Mail: Neuberger Berman Funds PO Box 219189 Kansas City, MO 64121-9189	Overnight Mail: Neuberger Berman Funds 430 West 7th Street, Suite 219189 Kansas City, MO 64105-1407
---	---
- This form may be faxed to 816.218.0478. Please note: We do not accept faxes that require a medallion signature guarantee.

PLEASE TYPE OR PRINT CLEARLY IN INK

1 PARTICIPANT INFORMATION

Name: First			Middle			Last		
Address						Apt. Number		
City			State			Zip Code		
Social Security Number								
Daytime Telephone Number						E-mail		

2 PRESENT CUSTODIAN OR TRUSTEE INFORMATION

Present Custodian or Trustee		
Address		
City	State	Zip Code
Name of Mutual Fund or CD Maturity Date, if applicable		
Account Number(s)		
Contact Name	Telephone Number	

3 INSTRUCTIONS TO PRESENT CUSTODIAN OR TRUSTEE

Please liquidate and transfer to UMB Bank, n.a. as successor Custodian/Trustee:

- All assets in my account (or) \$ _____
 In kind Liquidate (Partial Transfer)

This transfer should be made:

- Immediately
 Upon maturity of the current investment. The maturity date is _____ / ____ / ____

Make check payable to:
Neuberger Berman Funds
c/o UMB Bank, n.a. Custodian, FBO

4 NEUBERGER BERMAN BD LLC FUND ACCOUNT INFORMATION

I have established a

- Profit Sharing Plan Money Purchase Pension Plan
 Solo 401(k) Traditional 401(k)

NOTE: If you are establishing both a Profit Sharing Plan and a Money Purchase Pension Plan please complete a separate Transfer of Assets Form for each plan.

Account Number (If available)

Please invest my transfer of assets as follows:

Fund _____	\$ _____	or _____ %
Fund _____	\$ _____	or _____ %
Fund _____	\$ _____	or _____ %
Fund _____	\$ _____	or _____ %
Fund _____	\$ _____	or _____ %
Fund _____	\$ _____	or _____ %
Total	\$ _____	100%

Please read the prospectus for information on funds that are closed to investors. Please read the prospectus for information on funds that are subject to a redemption fee on the sale or exchange of shares.

5 SIGNATURE

Please accept this as your authorization and instruction to liquidate the assets noted in Sections 2 and 3. I am aware of and acknowledge any early withdrawal penalties that may apply.

X

Signature

Date

X

Authorized Plan Fiduciary or Employer Signature

Date

STAMP 2000/MEDALLION SIGNATURE GUARANTEE (if necessary)

NOTE: To complete this transaction, your present Custodian or Trustee may require a signature guarantee. Please contact your existing Custodian or Trustee for their requirements.

6 ACCEPTANCE

(For UMB Bank, n.a. Use Only)

UMB Bank, n.a. accepts its appointment as Custodian/Trustee of the above-referenced account and requests the transfer of assets as indicated above.

X

Authorized Signature

Date

Mail check to:

Neuberger Berman
PO Box 219189
Kansas City, MO 64121-9189

*Send overnight check to:

Neuberger Berman
430 West 7th Street, Suite 219189
Kansas City, MO 64105-1407

*Wire funds to:

State Street Bank/Boston
ABA #011-000028
Attention: NB Deposit Account
DDA: 9904-199-8
Name of Fund(s) in CAPS
IRA Owner's Name
IRA Account Number

*Fee Might be Charged from Delivering Custodian