

CERTIFICATION OF SPECIAL NEEDS STUDENT FOR COVERDELL EDUCATION SAVINGS ACCOUNTS

- **Please return this completed Form to:**
Neuberger Berman Funds
Boston Service Center
P.O. Box 8403
Boston, MA 02266-8403
- **Retain a photocopy of the completed Form for your records**

PLEASE TYPE OR PRINT CLEARLY IN INK

Use this form to certify that the designated beneficiary (or "Student") for whom the Education Savings Account was established is a "Special Needs Student." A Special Needs Student is defined as a Student who, because of a physical, mental or emotional condition (including a demonstrable learning disability) requires additional time to complete his or her education; any requirements specified in Internal Revenue Service regulations or rulings must also be satisfied.

Complete the Account Information in Part 1 and sign this form in Part 2. If the Student is a minor in the state of the Student's residence (or if the Student has reached the age of majority but has not filed a written notice with the Custodian assuming control of the Education Savings Account), the Parent (Responsible Individual) controlling the administration of the Education Savings Account should sign. If the Student controls the administration of the account, the Student should sign.

1 ACCOUNT INFORMATION

<input type="text"/>	Student's Social Security Number
Print Full Name of Student	<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="text"/>	Student's Date of Birth
Address	<input type="text"/>
<input type="text"/>	
City State Zip	
<input type="text"/>	
Account Number	

2 SIGNATURE

By signing this form, I certify that the Student named above is a Special Needs Student (as defined above). I understand that adverse income tax consequences (including possible penalties) may apply for providing false or incorrect information.

<input type="text"/>	<input type="text"/>
Signature	Date
<input type="text"/>	
Print Name	

(Student should sign if Student controls the administration of the Account; otherwise Parent should sign.)

FACTS

WHAT DOES DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and
- and
- and

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share personal information to run their everyday business. In the section below, we list the reasons financial companies can share their personal information; the reasons chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus		
For our marketing purposes— to offer our products and services to you		
For joint marketing with other financial companies		
For our affiliates' everyday business purposes— information about your transactions and experiences		
For our affiliates' everyday business purposes— information about your creditworthiness		
For nonaffiliates to market to you		

Questions?

Call

Who we are

Who is providing this notice?

What we do

How does protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does collect my personal information?

We collect your personal information, for example, when you

- or
-
-

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

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Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

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Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

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