

COVERDELL EDUCATION SAVINGS ACCOUNT TRANSFER FORM

- Please call Neuberger Berman Retail Services at 800.877.9700 if you have any questions about completing this Form. Monday through Friday 9:00AM to 6:00PM Eastern Time
- Please return this Form to:
Neuberger Berman Funds
Boston Service Center
P.O. Box 8403
Boston, MA 02266-8403
- Retain a photocopy of the completed Form for your records
- This request can be faxed to 816-218-0478. Please note that we do not accept faxes for distributions that require a Medallion Signature Guarantee

PLEASE TYPE OR PRINT CLEARLY IN INK

1 NAME, ADDRESS AND CONSENT OF PERSON WHO CONTROLS THE ACCOUNT BEING TRANSFERRED

Name: _____

Address _____

City _____ State _____ Zip Code _____

Mother Father Guardian*

*If "guardian," submit proof of guardianship.

Social Security Number
 - -

() _____
 Daytime Telephone Number

By signing below, I authorize and direct the current custodian or trustee to make the transfer specified on this form.

Signature _____ Date _____

Name of Bank or Dealer Firm _____

Signature of Officer and Title _____ Date _____

2 NAME OF STUDENT

Insert the name of the Student benefiting under the Neuberger Berman Coverdell Education Savings Account, (as specified in Section 1 of the Neuberger Berman Coverdell Education Savings Account Application.)

Name _____

Address _____

City _____ State _____ Zip Code _____

() _____
 Daytime Telephone Number

Social Security Number
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3 INSTRUCTIONS TO CURRENT EDUCATION SAVINGS ACCOUNT CUSTODIAN OR TRUSTEE

Current Account Number _____

Name of Custodian/Trustee _____

Attn _____

Address _____

City _____ State _____ Zip Code _____

Please liquidate and transfer assets from the above account to Neuberger Berman LLC. Transfer should be in cash according to the following instructions:

Transfer the total amount in this Account. Or

Transfer \$ _____ and retain the balance.

Make check payable to "Neuberger Berman Funds"

4 INVESTMENT INSTRUCTIONS TO NEUBERGER BERMAN LLC.

Check one box and complete if necessary.

Invest the transferred amount in accordance with the investment instructions currently in effect for the Neuberger Berman Coverdell Education Savings Account.

If such an Account is already open, give account number: _____

Invest the transferred amount as follows:

Fund _____ %

Fund _____ %

Fund _____ %

Please read the prospectus for information on funds that are closed to investors. Please read the prospectus for information on funds that are subject to a redemption fee on the sale or exchange of shares.

The undersigned acknowledges having sole responsibility for the foregoing investment choices and having received a current prospectus for each Fund selected. Please read the prospectus(es) of the Fund(s) selected before investing.

The undersigned understands that the requirements for a valid transfer between Education Savings Accounts are complex and acknowledges having responsibility for complying with all requirements and for the tax results of any such transfer.

5 SIGNATURE OF STUDENT, PARENT OR GUARDIAN

Student/Parent/Guardian
(Please circle one of the above)

Date

Special Note: If Student has reached age of majority under the law of Student's state of residence, the Student must sign this Transfer of Education Savings Account Form.

**6 ACCEPTANCE BY NEW CUSTODIAN
(TO BE COMPLETED BY STATE STREET BANK
AND TRUST COMPANY)**

State Street Bank and Trust Company agrees to accept transfer of the above amount for deposit to the Neuberger Berman Coverdell Education Savings Account, and requests the liquidation and transfer of assets as indicated above.

By

Date

STAMP 2000/MEDALLION SIGNATURE GUARANTEE (if necessary)

NOTE:

To complete this transfer, the present Custodian or Trustee of your CESA **may** require a signature guarantee. Please contact your existing Custodian or Trustee for their requirements.

Mail check to:

Neuberger Berman Funds
Boston Service Center
P.O. Box 8403
Boston, MA 02266-8403

***Send overnight check to:**

Neuberger Berman Funds
C/O State Street Bank & Trust
30 Dan Road
Canton, MA 02021

***Wire funds to:**

State Street Bank/Boston
ABA #011-000028
Attention: NB Deposit Account
DDA: 9904-199-8
Name of Fund(s) in CAPS
CESA Owner's Name
CESA Account Number

*Fee Might be Charged from Delivering Custodian

FACTS

WHAT DOES DO WITH YOUR PERSONAL INFORMATION?

| | |
|--------------|---|
| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. |
| What? | <p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and ■ and ■ and <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |
| How? | All financial companies need to share personal information to run their everyday business. In the section below, we list the reasons financial companies can share their personal information; the reasons chooses to share; and whether you can limit this sharing. |

| Reasons we can share your personal information | Does share? | Can you limit this sharing? |
|---|-------------|-----------------------------|
| For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | | |
| For our marketing purposes— to offer our products and services to you | | |
| For joint marketing with other financial companies | | |
| For our affiliates' everyday business purposes— information about your transactions and experiences | | |
| For our affiliates' everyday business purposes— information about your creditworthiness | | |
| For nonaffiliates to market to you | | |

| | |
|-------------------|------|
| Questions? | Call |
|-------------------|------|

Who we are

Who is providing this notice?

What we do

How does protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does collect my personal information?

We collect your personal information, for example, when you

- or
-
-

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

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Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

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Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

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