

NB Votes: 2021 Neuberger Berman Proxy Voting Positions

The following represents the positions Neuberger Berman has taken year-to-date 2021 as part of the *NB Votes* initiative, where we preannounce our proxy votes across nine Governance and Engagement Principles.

Company Name	Principles	Meeting Date	Proposal Type	Proposal Description	NB	Shareholder Support %	
United Rentals, Inc.	Strategy	5/6/2021	Management	Election of Directors	For	96.95%	
Waste Connections, Inc.		5/14/2021	Management	Election of Directors	For	94.02%	
The Home Depot, Inc.		5/20/2021	Management	Elect Albert P. Carey	For	94.03%	
Stericycle, Inc.		5/26/2021	Management	Election of Directors	For	99.17%	
Criteo S.A.		6/15/2021	Management	Election of Directors	For	97.67%	
Pets at Home Group plc		7/8/2021	Management	Election of Directors	For	99.93%	
Intuit Inc.	Incentives	1/21/2021	Management	Advisory Vote on Executive Compensation	For	91.08%	
Amerisource Bergen Corp.		3/11/2021	Management	Advisory Vote on Executive Compensation	Against	51.50%	
The Sherwin-Williams Company		4/21/2021	Management	Advisory Vote on Executive Compensation	For	92.80%	
Heineken N.V.		4/22/2021	Management	Remuneration Report	Against	90.98%	
HCA Healthcare Inc.		4/28/2021	Shareholder	Impact of Quality Metrics on Executive Compensation	Against	9.74%	
The Goldman Sachs Group, Inc.		4/29/2021	Management	Advisory Vote on Executive Compensation	For	89.97%	
General Electric Company		5/4/2021	Management	Advisory Vote on Executive Compensation	Against	42.01% ¹	
Regency Centers Corporation		5/5/2021	Management	Advisory Vote on Executive Compensation	For	94.04%	
Univar Solutions Inc.		5/6/2021	Management	Advisory Vote on Executive Compensation	For	92.75%	
Progressive Corporation		5/7/2021	Management	Advisory Vote on Executive Compensation	For	94.89%	
Floor and Décor Holdings, Inc.		5/12/2021	Management	Advisory Vote on Executive Compensation	For	98.73%	
X5 Retail Group N.V.		5/12/2021	Management	Remuneration Report	Against	77.44%	
Thermo Fisher Scientific Inc.		5/19/2021	Management	Advisory Vote on Executive Compensation	For	82.52%	
McDonald's Corporation		5/20/2021	Management	Elect Richard H. Lenny	Against	79.34%	
Five Below, Inc.		6/15/2021	Management	Advisory Vote on Executive Compensation	Against	66.37%	
CrowdStrike Holdings, Inc.		6/24/2021	Management	Say on Pay Frequency	1 Year	26.30% ²	
RH		7/15/2021	Management	Advisory Vote on Executive Compensation	Against	68.43%	
Berkshire Hathaway Inc.		Board Independence	5/1/2021	Management	Director Elections	Against	95.90% ²
International Flavors & Fragrance, Inc.			5/5/2021	Management	Elect Edward D. Breen	For	70.77%
CSX Corporation			5/7/2021	Management	Elect John Zillmer	For	62.69%
First Solar, Inc.	5/7/2021		Management	Elect Paul Stebbins	Against	69.44%	
Techtronic Industries Co. Ltd.	5/14/2021		Management	Elect Frank Chan Chi Chung & Roy Chung Chi Ping	Withhold	N/A	
Mohawk Industries, Inc	5/20/2021		Management	Elect Bruce Bruckmann and John Engquist	Against	82.08%	
Terumo Corporation	6/22/2021		Management	Elect Takanori Shibazaki	Against	95.78%	
Heiwa Real Estate Co. Ltd.	6/24/2021		Management	Election of Directors	Against	94.57%	
Fujitsu Limited	6/28/2021		Management	Elect Scott Callon	For	90.43%	
Accton Technology Corporation	7/14/2021		Management	Election of Directors	For	N/A	
Constellation Brands, Inc.	7/20/2021		Management	Elect Jerry Fowden, James A. Locke III, Judy Schmeling	Against	72.41% ²	

Asics Corporation	Shareholder Representation	3/26/2021	Management	Director Elections	Against	91.76%
Kellogg Company		4/30/2021	Shareholder	Right to Call Special Meetings	For	61.28%
Telexflex Incorporated		4/30/2021	Shareholder	Board Declassification	For	95.39%
Stanley Black & Decker, Inc.		5/10/2021	Management	Elimination of CBCA Supermajority Requirements	For	99.31%
			Management	Elimination of Supermajority Requirements	For	99.27%
			Management	Adoption of Majority Vote for Election of Directors	For	99.40%
Merck & Co. Inc.		5/25/2021	Shareholder	Right to Act by Written Consent	Against	39.48%
Alphabet, Inc.		6/2/2021	Shareholder	Recapitalization	For	31.39% ²
Netflix, Inc.		6/3/2021	Management	Election of Directors	Abstain	44.53%
			Shareholder	Simple Majority Vote	For	89.92%
Daiwa Industries Ltd.	Capital Deployment	3/20/2021	Management	Election of Directors	Against	91.58%
Smurfit Kappa Group Plc		4/30/2021	Management	Elect John Moloney and Jorgen Buhl Rasmussen	For	97.81%
General Motors Company		6/14/2021	Management	Elect Mary T. Barra	For	95.20%
Ricoh Company, Ltd.		6/24/2021	Management	Allocation of Surplus Capital	For	99.87%
			Management	Elect Director Yoshinori Yamashita	For	98.40%
Daibiru Corporation		6/24/2021	Management	Elect Director Toshiyuku Sonobe	Against	90.63%
Rambus Inc.	Transparency and Communication	4/29/2021	Management	Election of Directors	Against	85.89%
Charter Communications, Inc.	Risk Management	4/27/2021	Shareholder	Lobbying Report	For	36.35%
Dominion Energy, Inc.		5/5/2021	Shareholder	Lobbying Payments and Policy	Against	15.48%
Netflix, Inc.		6/3/2021	Shareholder	Political Contributions and Expenditures Report	For	80.27%
Ferrovia S.A.	Environmental	4/8/2021	Management	Green House Gas Emissions Reduction	For	96.59%
			Management	Adoption of Annual Vote on the Climate Strategy Report	For	96.76%
Charter Communications, Inc.		4/27/2021	Shareholder	Annual Shareholder Vote on Emissions Reduction	Abstain	36.77%
Berkshire Hathaway Inc.		5/1/2021	Shareholder	Climate Report	For	28.00% ²
General Electric Company		5/4/2021	Management	Report on Net Zero Indicator	For	96.58%
Unilever PLC		5/4/2021	Management	Advisory Vote on Climate Transition Action Plan	For	97.42%
Phillips 66		5/12/2021	Shareholder	GHG Reduction Targets	For	79.37%
Royal Dutch Shell Plc		5/14/2021	Management	Approval of the Energy Transition Plan	For	83.15%
Caterpillar, Inc.		6/9/2021	Management	Report on Net Zero Indicator	For	47.44%
National Grid plc		7/26/2021	Management	Approval of the Company's Net Zero Commitment and Associated Climate Targets	For	93.92%
Cigna Corporation	Social	4/28/2021	Shareholder	Median Gender and Racial Pay Equity Report	Against	32.32%
Berkshire Hathaway Inc.		5/1/2021	Shareholder	Diversity and Inclusion Reporting	For	26.80% ²
American Express Company		5/4/2021	Shareholder	Diversity and Inclusion Report	Against	58.80%
First Solar, Inc.		5/12/2021	Shareholder	Board Diversity Report	For	90.75%
Union Pacific Corporation		5/13/2021	Shareholder	EEO-1 Reporting	For	85.59%
The Wendy's Company		5/18/2021	Shareholder	Report on Effectiveness of Supply Chain Audits and Third-Party Reviews	For	94.33%
Chartwell Retirement Residences		5/20/2021	Management	Advisory Vote on Executive Compensation	Against	69.47%
			Shareholder	Report on Work Practices	For	31.37% ²
Constellation Brands, Inc.		7/20/2021	Shareholder	Policy on Board and CEO Diversity	For	12.09% ²

Data as of July 31, 2021.

¹ GE was one of 13 S&P 500 companies that received less than 50% support for its say-on-pay proposal.

² Given the company's multi-class voting structure, support or opposition for the proposal is more substantial than it appears.

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