

APPLICATION FOR TRUST, ESTATE, CORPORATE, PARTNERSHIP ACCOUNT

- Third-party checks are not accepted. A third-party check is any check that is not made payable on its face to "Neuberger Berman Funds." Money orders, cash, starter and travelers checks are also not acceptable.
- **Please return your check, Application, and required documents to:**

Regular Mail	Overnight Mail
Neuberger Berman Funds PO Box 219189 Kansas City, MO 64121-9189	Neuberger Berman Funds 430 West 7th Street, Suite 219189 Kansas City, MO 64105-1407
- **If you have any questions about completing this Application, please call Neuberger Berman Retail Services at 800.877.9700, Monday-Friday, from 9AM to 6PM Eastern Time.**
- This application can be faxed to 816-218-0478. **Please note that we do not accept faxes for applications that require a Medallion Signature Guarantee.**

PLEASE TYPE OR PRINT CLEARLY IN INK

1 | SHAREHOLDER ACCOUNT REGISTRATION

Please select one of the following:

Check appropriate box TRUST ESTATE

Name of Trust/Estate
Name of Trust/Estate
Tax Identification Number*
Name(s) of Trustee(s)/Executor/Executrix
Name(s) of Trustee(s)
Date of Trust
Required Document: Copy of trust agreement.

Check appropriate box

S-Corp C-Corp Partnership LLP

LP Foundation Other _____

LLC Enter the tax classification _____
(C=C Corp, S=S Corp, P=Partnership)

Name of Corporation, Partnership or Entity
Tax Identification Number*
Name of Officers or Partners
Required Document: Copy of certified articles of incorporation or a business license or copy of the partnership agreement.

GOVERNMENT ENTITIES

Check appropriate box

Public Institution Public Charity

U.S. Government/Federal State or Local Government

Name of Entity
Tax Identification Number*
Name of Officers

2 | ACCOUNT ADDRESS

Street or P.O. Box		Suite/Apt. Number
If the above address is a P.O. Box you must also provide a street address.†		
City	State	Zip Code
()	()	()
Daytime Telephone Number		Evening Telephone Number
E-mail (Optional)		
†We are required by various federal rules to obtain your street address.		
I am a citizen of <input type="checkbox"/> U.S. <input type="checkbox"/> Other (Please Specify)**		
Foreign Identification Number		
Required Documents for non-U.S. Citizens: For identification information, foreign customers must provide a copy of a government-issued document that includes a government-issued identification number and the country of issuance, such as a passport, an alien identification card, or other government-issued photo identification.		

3 | AUTHORIZED SIGNER(S) (An authorized signer includes Trustees, Executors and Officers)

We are required to obtain the following information for the authorized signer(s) of the account. Please attach an additional sheet if necessary.

Name:	First	Middle	Last
Social Security Number*	Birth Date		
Street or P.O. Box		Suite/Apt. Number	
If the above address is a P.O. Box you must also provide a street address.†			
City	State	Zip Code	

Name:	First	Middle	Last
Social Security Number*	Birth Date		
Street or P.O. Box		Suite/Apt. Number	
If the above address is a P.O. Box you must also provide a street address.†			
City	State	Zip Code	

*Any Application without a Taxpayer Identification Number or Social Security Number (or notation that it is "applied for") will be returned.
 **Please visit www.nb.com to obtain a W-8BEN Form. If a W-8BEN Form is not on file, non-U.S. citizens will also be subject to backup withholding.

4 | DIVIDEND AND DISTRIBUTION OPTIONS

If you **do not** check any of the boxes below, all income dividends and capital gain distributions will automatically be reinvested in shares.

Dividends:

- Reinvest Send dividends via check to the address of record
- Send dividends via ACH to bank account on file. **If no bank account on file, please complete Section 6)**
- Invest dividends in Neuberger Berman fund name and account number listed below*.

Account Number: _____ Fund _____

Capital Gain Distributions:

- Reinvest Send distributions via check to the address of record
- Send distributions via ACH to bank account on file. **(If no bank account on file, please complete Section 6)**
- Invest dividends in Neuberger Berman fund name and account number listed below*.

Account Number: _____ Fund _____

***Note: Accounts registered differently require a Medallion Signature Guarantee**

5 | FUND(S) SELECTION

(You may make one check, payable in the total amount shown below, to "NEUBERGER BERMAN FUNDS". Please attach check to Application.)

EQUITY FUNDS	\$1,000 minimum initial investment per Fund	
MONEY MARKET AND INCOME FUNDS	\$2,000 minimum initial investment per Fund	AMOUNT
Fund _____		\$ _____
Fund _____		\$ _____
Fund _____		\$ _____
TOTAL \$		_____

Please read the prospectus for information on funds that are closed to investors.

6 | AUTOMATIC TRANSACTIONS BETWEEN YOUR BANK AND NEUBERGER BERMAN FUND ACCOUNTS

(Optional) You may use your bank account to make purchases of Fund shares. You may also send the proceeds from redemptions or distributions of Fund shares to your bank account. (See note below.)

Please indicate the following information about the bank account you wish to use:

Name of Bank

Bank ABA Number (9-digit routing number)

Address and Branch of Bank

Name(s) on Account	

Account Number	Type of Account (Savings or Checking)

Note: For the purpose of establishing bank transactions, in addition to your investment check, please attach a voided, unsigned check to this Application. **(We cannot accept money market fund or starter checks.)** For transactions to and from a savings bank account, please attach a letter on your financial institution's letterhead with the information listed in Box 6. **Note: If the bank account owners differ in any way from the Neuberger Berman account owners, a Medallion Signature Guarantee is required for all bank account owners and all mutual fund owners.**

AUTOMATIC INVESTMENT

Please withdraw \$ _____ (minimum \$100 per fund) from my bank account shown above on or about the _____ day of every month and invest it in the Fund(s) indicated below:

Fund _____	\$ _____
Fund _____	\$ _____

Note: If no date is selected, your automatic transaction will occur on or about the tenth day of every month.

7 | Cost Basis Method

Please choose **one** cost basis accounting method from the list of reporting methods listed below by marking an X in the box of your chosen method. If option 6 (SLID) is chosen, a secondary reporting method must be selected in the event the lots you have chosen are not available. **Please consult a tax professional for assistance in selecting a cost basis method.**

- | | | |
|--------------------------|---------|-----------------------------|
| <input type="checkbox"/> | 1. FIFO | First In First Out |
| <input type="checkbox"/> | 2. LIFO | Last In First Out |
| <input type="checkbox"/> | 3. HIFO | High Cost First Out |
| <input type="checkbox"/> | 4. LOFO | Low Cost First Out |
| <input type="checkbox"/> | 5. LGUT | Loss/Gain Utilization |
| <input type="checkbox"/> | 6. SLID | Specific Lot Identification |
| <input type="checkbox"/> | 7. ACST | Average Cost |

Secondary Reporting Method Selection (**Only if you select Specific Lot Identification**):

Write Selection Here

8 | TELEPHONE EXCHANGES AND REDEMPTIONS (INCLUDING FUNDFONE® AND ONLINE TRANSACTIONS)

Please refer to the prospectus for additional details and conditions.
You will automatically have these services.

If you do not want the telephone exchange or telephone redemption service, please check below:

- I **do not** want the telephone exchange service.
- I **do not** want the telephone redemption service.

9 | SIGNATURE

By signing this Application, I certify that:

- I have full authority and legal capacity to purchase Fund shares and I believe the Fund investment is suitable for me.
- I have received and read a current prospectus of the Fund in writing or electronically and agree to be bound by its terms.
- The Fund can redeem shares from my account(s) to reimburse the Fund for any loss due to nonpayment or lack of funds.
- I ratify any instructions (whether written, telephonic or electronic) given on this account. I agree that neither the Fund nor its agent is responsible for any loss, cost or expense caused by acting upon instructions reasonably believed by it to be genuine and in accordance with the procedures described in the prospectus.
- By requesting an exchange into another Neuberger Berman Fund, I acknowledge that I have received and read the current prospectus of that Fund and agree to be bound by its terms and conditions. I understand that all information given and options selected in this Application (except for investment instructions), including the certifications in this Section 9, shall apply to any Fund into which my shares may be exchanged.
- I understand that neither the Fund nor Neuberger Berman BD LLC is a bank, and Fund shares are not backed or insured by the FDIC or guaranteed by any bank.

9 | SIGNATURE (Cont.)

- If I selected the option to purchase shares from my bank account, I authorize the bank to make debits from that account in the amount indicated. This authority remains in effect until revoked in writing by me and, until the Fund or its agent receives such notice, I agree that the Fund and its agent shall be fully protected in honoring each transaction. I further agree that neither the Fund nor its agent shall be liable if any such transaction is dishonored, regardless of the reason. In the event a draft is dishonored, State Street is authorized to redeem shares from my Fund account to make up for any resulting deficiency. The automatic purchase option may be cancelled at any time.
- I agree that Neuberger Berman BD LLC may send only one copy of prospectuses and financial reports for all account-holders that share the address above. This will save the funds mailing costs, which benefits all shareholders. I understand that I can begin receiving my own copies of these documents within 30 days by calling or writing Neuberger Berman BD LLC, or immediately by checking the box below. Please note that this policy applies only to regulatory documents and not to account statements.

- I **do not** want my prospectuses and financial reports to be householded.

IMPORTANT INFORMATION ABOUT NEW ACCOUNTS:

To help the US government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

When you open an account, we will require your name, address, date of birth, and Social Security Number or other identifying number. We may also require other identifying documents. If we cannot verify the information you supply to us or if it is incomplete, we may be required to return your funds or redeem your account.

Under penalty of perjury, I also certify that:

1. I am a U.S. Citizen or Resident Alien, as I have indicated above.
2. The Social Security or Taxpayer Identification Number provided above is correct (or I am waiting for a number to be issued to me).
3. I am not subject to backup withholding because:
 - (a) I am exempt from backup withholding.
 - (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding for failure to report all dividend and interest income.
 - (c) I have been notified by the IRS that I am no longer subject to backup withholding.

(Please cross out item 3 if it does not apply to you.)

OR

As I have indicated above, I am a Non-Resident Alien, and the information entered above is correct. If a reduced rate of tax or exemption from tax applies, I have complied with all requirements to qualify for the reduced tax, and I am an exempt foreign person under IRS regulations.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

9 | SIGNATURE (cont'd)

Account Owner(s) (Sign Below)

In order to protect the security of your account, a Medallion Signature Guarantee is required if the bank account owners differ in any way from the Neuberger Berman account owners.

X

Signature (Owner, Trustee, Custodian, etc. exactly as it appears in Section 1) _____ Date _____

X

Signature (Joint Owner, Trustee, POA, etc. exactly as it appears in Section 1) _____ Date _____

You can obtain a Stamp 2000/Medallion Signature Guarantee from most banks, stockbrokers and dealers, credit unions and other financial institutions. We cannot accept guarantees from a notary public or non-Medallion signature guarantees. The level of coverage provided by the Medallion Signature Guarantee must cover the dollar amount of the transaction or it may be rejected.

STAMP 2000/MEDALLION SIGNATURE GUARANTEE: (if necessary)

If you need more space, please use an additional blank sheet of paper.

Bank Account Owner(s) (Sign Below)

All owners of the bank account in Section 6 who are not owners in Section 1 must sign below.

X

Bank Account Owner _____ Date _____

X

Bank Account Owner _____ Date _____

STAMP 2000/MEDALLION SIGNATURE GUARANTEE: (if necessary)



Please attach your voided unsigned check.

We are unable to establish Bank Transaction Services without it.
Note: We are unable to accept money market fund or starter checks.



TRUSTED CONTACT AUTHORIZATION FORM

• Please return this Application to:

Regular Mail:

Neuberger Berman Funds
PO Box 219189
Kansas City, MO 64121-9189

Overnight Mail:

Neuberger Berman Funds
430 West 7th Street, Suite 219189
Kansas City, MO 64105-1407

- If you have any questions about completing this Application, please call Neuberger Berman Retail Services at 800.877.9700, Monday-Friday, from 9AM to 6PM Eastern Time.
- This application can be faxed to 816-218-0478.

By my signature below, I/We authorize Neuberger Berman Investment Adviser LLC and its affiliates ("Neuberger Berman") to share my/our nonpublic personal information* held at Neuberger Berman to the named Trusted Contact Person(s) identified below.

I/We authorize this information to be shared with the Trusted Contact Person(s) in the discretion of Neuberger Berman. This authorization includes, but is not limited to, any of the undersigned client's information regarding investments in financial products or services offered by or through Neuberger Berman or any financial information the undersigned may have provided to Neuberger Berman.

I/We understand that Neuberger Berman may contact the named Trusted Contact Person(s) if there are questions/concerns about my current contact information or health status (i.e., if Neuberger Berman becomes concerned that I might no longer be able to handle my financial affairs) or in the event that Neuberger Berman becomes concerned that I may be a victim of fraud or exploitation.

Neuberger Berman suggests that the named Trusted Contact Person(s) not be someone authorized to transact business on the account, or who is already otherwise able to receive the information described above. Multiple contact persons may be designated by completing additional copies of this form. Note that the Trusted Contact Person(s) is not authorized to make investments decisions or make distributions from your account.

Name of Contact Person	Relationship	
Daytime Phone	Evening Phone	Email
Mailing Address	City, State & Zip	

Check here if this Contact Authorization supersedes a previous Contact Authorization:

Name of Contact Person	Relationship	
Daytime Phone	Evening Phone	Email
Mailing Address	City, State & Zip	

Check here if this Contact Authorization supersedes a previous Contact Authorization:

I certify that my Trusted Contact Person(s) is 18 years or older. I understand that there is no requirement that Neuberger Berman reach out to my Trusted Contact Person(s) and that I may withdraw this Contact Authorization at any time by notifying Neuberger Berman in writing at the address shown on my account statement. By signing below, I and my heirs indemnify and hold harmless Neuberger Berman, its officers, directors, employees, agents, affiliates, shareholders, successors, assigns and representatives from any liability in connection with either acting, or failing to act, on your stated preferences based upon your own best judgment.

Client Signature	Client Signature
Printed Name	Printed Name
Date	Date

*"Nonpublic personal information" includes but is not limited to: financial account information and balances, and as defined in Neuberger Berman's Privacy Policy and under the Securities and Exchange Commission Regulation S-P privacy rules promulgated under Title V of the Gramm-Leach-Bliley Act, or as defined by any other federal or state law, personally identifiable financial information: (i) provided by a client to Neuberger Berman; (ii) resulting from any services that Neuberger Berman performed for you; or (iii) otherwise obtained by Neuberger Berman.

CERTIFICATION REGARDING BENEFICIAL OWNERS OF LEGAL ENTITY CUSTOMERS

• **Please return this Application to:**

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 PO Box 219189
 Kansas City, MO 64121-9189

Overnight Mail:

Neuberger Berman Funds
 430 West 7th Street, Suite 219189
 Kansas City, MO 64105-1407

- If you have any questions about completing this Application, please call Neuberger Berman Retail Services at 800.877.9700, Monday-Friday, from 9AM to 6PM Eastern Time.
- This application can be faxed to 816-218-0478.

NB Account Number _____

To assist the government's fight of financial crimes, Federal regulation requires certain financial institutions to obtain, verify and record information about the beneficial owners of legal entity customers. This form must be completed by the person opening the new account on behalf of a legal entity.

Name and Address of Legal Entity* for Which Account is Being Opened		
Entity Name	Entity Type	Entity Tax ID
Entity Address		
Name and Title of Natural Person Opening Account		
First, Middle Initial, Last Name of Natural Person Opening Account		Title

You are required to provide the: **name, address, date of birth, Social Security number, and driver's license number** (or passport number in the case of non-United States persons) **and a copy of a passport** in the case of non-United States persons for the following individuals:

1. Beneficial Owner(s) (Equity Stakeholder):**

Persons opening an account on behalf of a legal entity must provide the following information for each individual, if any, who directly or indirectly, through any contract, arrangement, understanding or relationship or otherwise owns 25 percent or more of the equity interests of the legal entity listed above:

First, Middle Initial, Last Name & Title	Date of Birth	Legal Address	Social Security Number (For U.S. Persons)	Driver's License or Passport Number & Country of Issuance (Passport required for Non-U.S.)

(If no individual meets this definition, please write "Not Applicable.")

2. Beneficial Owner(s) (Executive or Senior Officer or Senior Manager):**

Please provide the following information for **one** individual with significant responsibility for managing the legal entity listed above, such as an (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer); **or** any other individual who regularly performs similar functions. (If appropriate, an individual listed under section above may also be listed in this section).

First, Middle Initial, Last Name & Title	Date of Birth	Legal Address	Social Security Number (For U.S. Persons)	Driver's License or Passport Number & Country of Issuance (Passport required for Non-U.S.)

I, _____ (name of natural person opening account), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____

Date: _____

* For the purposes of this form, a **legal entity** includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. **Legal entity** does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

**** A beneficial owner is defined by the following:**

- Each individual, if any, who owns, directly or indirectly, 25 percent or more of the equity interests of the legal entity customer (e.g., each natural person that owns 25 percent or more of the share of a corporation); **and**
- An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer).
 The number of individuals that satisfy this requirement may vary. Under (a), depending on factual circumstances, up to four individuals, but as few as zero, may need to be identified. It is possible that in some circumstances the same individual might be identified under both (a) and (b) (e.g., the President of Acme Corp. also holds 30% equity interest).

FACTS

WHAT DOES NEUBERGER BERMAN DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security numbers, dates of birth and other numerical identifiers
- Names and addresses
- Driver’s licenses, passports and other identification documents
- Usernames and passwords
- Internet protocol addresses and other network activity information
- Income, credit history, credit scores, assets, transaction history and other financial information

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Neuberger Berman chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Neuberger Berman share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes— information about your creditworthiness	No	We don’t share
For nonaffiliates to market to you	No	We don’t share

Questions?

Call 646.497.4003 or 866.483.1046 (toll-free)
 Email NBPrivacyOfficer@nb.com

FACTS

WHAT DOES NEUBERGER BERMAN DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security numbers, dates of birth and other numerical identifiers
- Names and addresses
- Driver’s licenses, passports and other identification documents
- Usernames and passwords
- Internet protocol addresses and other network activity information
- Income, credit history, credit scores, assets, transaction history and other financial information

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Neuberger Berman chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Neuberger Berman share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes— information about your creditworthiness	No	We don’t share
For nonaffiliates to market to you	No	We don’t share

Questions?

Call 646.497.4003 or 866.483.1046 (toll-free)
 Email NBPrivacyOfficer@nb.com

FACTS

WHAT DOES STATE STREET BANK AND TRUST COMPANY (STATE STREET) DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- account balances
- account transactions
- payment history
- transaction history
- retirement assets.

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons State Street chooses to share and whether you can limit this sharing.

Reasons we can share your personal information	Does State Street share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes — to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes — information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes — information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

Question?

Call 800.877.9700



STATE STREET®

What we do	
How does State Street protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does State Street collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> ▪ open an account ▪ pay us by check ▪ make deposits and withdrawals from your account ▪ provide account information ▪ give us your contact information.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ <i>State Street does not share with affiliates.</i>
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ▪ <i>State Street does not share with non-affiliates so they can market to you.</i>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> ▪ <i>State Street doesn't jointly market.</i>