

Please return this form to:

Regular Mail

Neuberger Berman Funds
PO Box 219189
Kansas City, MO 64121-9189

Overnight Mail

Neuberger Berman Funds
430 West 7th Street, Suite 219189
Kansas City, MO 64105-1407

If you have any questions about completing this form, please call Neuberger Berman Shareholder Services at 800.877.9700, Monday-Friday, from 9AM to 5PM Eastern Time.

This application can be faxed to 816.218.0478.

Please type or print clearly in ink.

1 NAME(S)

Owner's First Name (or Trustee, Custodian, Executor, etc.)	Middle	Last
Joint Owner's First Name (or Trustee, Custodian, Executor, etc.)	Middle	Last
Daytime Phone	Cell/Evening Phone	

2 ACCOUNT NUMBER(S)

Cost Basis election will be applied to accounts you indicate.

☐ ALL non-retirement accounts associated with:

Social Security or Tax ID Number

☐ ONLY on these accounts:

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

3 COST BASIS METHOD

Please choose **one** cost basis accounting method from the list of reporting methods listed below by marking an X in the box of your chosen method. **If option 6 (SLID) is chosen, a secondary reporting method must be selected in the event the lots you have chosen are not available. Please consult a tax professional for assistance in selecting a cost basis method.**

- | | | |
|--|--|--|
| ☐ 1. First In First Out (FIFO) | ☐ 4. Low Cost First Out (LOFO) | ☐ 6. Specific Lot Identification (SLID) |
| ☐ 2. Last In First Out (LIFO) | ☐ 5. Loss/Gain Utilization (LGUT) | ☐ 7. Average Cost (ACST) |
| ☐ 3. High Cost First Out (HIFO) | | |

Secondary Reporting Method Selection (Only if you select Specific Lot Identification)

Write selection here

4 SIGNATURES

Signature of Individual Owner	Date
Signature of Joint Owner, Trustee, etc.	Date

FACTS

WHAT DOES NEUBERGER BERMAN DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and account balances
- income and transaction history
- credit history and credit scores

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Neuberger Berman chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Neuberger Berman share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

Questions?

Call 800.223.6448

Who we are

Who is providing this notice?

Entities within the Neuberger Berman family of companies, mutual funds, and private investment funds.

What we do

How does Neuberger Berman protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We restrict access to customer information to those employees who need to know such information in order to perform their job responsibilities.

How does Neuberger Berman collect my personal information?

We collect your personal information, for example, when you

- open an account or provide account information
- seek advice about your investments or give us your income information
- give us your contact information

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates include companies with a Neuberger Berman name; financial companies, such as investment advisers, broker dealers; mutual funds, and private investment funds.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Nonaffiliates we share with can include companies that perform administrative services on our behalf (such as vendors that provide data processing, transaction processing, and printing services) or other companies such as brokers, dealers, or counterparties in connection with servicing your account.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Neuberger Berman doesn't jointly market.*