

NON-PROTOTYPE QUALIFIED RETIREMENT PLAN APPLICATION

- Use this application to open or add a new participant to a non-prototype qualified retirement plan.
- Non-prototype plans are retirement plans where Neuberger Berman BD LLC is not the document provider.
- If you have any questions about completing this Form, please call Neuberger Berman Retail Services at 800.877.9700, Monday-Friday, from 9AM to 6PM Eastern Time.
- Please return this Application to:

Regular Mail: Neuberger Berman Funds PO Box 219189 Kansas City, MO 64121-9189	Overnight Mail: Neuberger Berman Funds 430 West 7th Street, Suite 219189 Kansas City, MO 64105-1407
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- This application may be faxed to 816-218-0478.

PLEASE TYPE OR PRINT CLEARLY IN INK

1 EMPLOYER PLAN INFORMATION

Name of Plan _____

Name of Plan _____

Plan Tax Id: _____

Name of Trustee (if to be included in registration) _____

Name of Trustee (if to be included in registration) _____

PLAN ADDRESS

Street or P.O. Box _____ Suite/Apt. Number _____

If the above address is a P.O. Box, you must also provide a street address _____

City _____ State _____ Zip Code _____

E-mail (optional) _____

The above Plan is covered by ERISA and is exempt from providing the CIP information (check if appropriate)

Check appropriate box:

S-Corp C-Corp Partnership LLP

LP Foundation

LLC Enter the tax classification _____
(C=C Corp, S=S Corp, P=Partnership)

GOVERNMENT ENTITIES

Check appropriate box:

Public Institution Public Charity

U.S. Government/Federal State or Local Government

457(b) 403(b)

2 AUTHORIZED SIGNER(S)

Name: First	Middle	Last	Title
Name: First	Middle	Last	Title

3 PLAN PARTICIPANT (EMPLOYEE)

For Benefit of (Name of Plan Participant) _____

Participant (Employee) Address _____

City _____ State _____ Zip Code _____

4 FUND(S) SELECTION

Your may make one check payable in the total amount shown below, to Neuberger Berman Funds. Please attach check to Application

Fund _____ \$ _____ or _____ %

Fund _____ \$ _____ or _____ %

Fund _____ \$ _____ or _____ %

Fund _____ \$ _____ or _____ %

\$ _____ 100%

Please read the prospectus for information on funds that are closed to investors.

By signing this Application, I certify that:

I have full authority and legal capacity to purchase Fund shares and I believe the Fund investment is suitable investment for the plan.

I have received and read a current prospectus of the Fund in writing or electronically and agree to be bound by its terms.

The Fund can redeem shares from my account(s) to reimburse the Fund for any loss due to nonpayment or lack of funds.

I ratify any instructions (whether written, telephonic or electronic) given on this account. I agree that neither the Fund nor its agent is responsible for any loss, cost or expense caused by acting upon instructions reasonably believed by it to be genuine and in accordance with the procedures described in the prospectus.

By requesting an exchange into another Neuberger Berman Fund, I acknowledge that I have received and read the current prospectus of that Fund and agree to be bound by its terms and conditions.

I understand that all information given and options selected in this Application (except for investment instructions), including the certifications in this Section 5, shall apply to any Fund into which my shares may be exchanged.

I understand that neither the Fund nor Neuberger Berman BD LLC is a bank, and Fund shares are not backed or insured by the FDIC or guaranteed by any bank.

I agree that Neuberger Berman BD LLC may send only one copy of prospectuses and financial reports for all account-holders that share the address above. This will save the funds mailing costs, which benefits all shareholders. I understand that I can begin receiving my own copies of these documents within 30 days by calling or writing Neuberger Berman BD LLC, or immediately by checking the box below. Please note that this policy applies only to regulatory documents and not to account statements.

I do not want my prospectuses and financial reports to be householded.

IMPORTANT INFORMATION ABOUT NEW ACCOUNTS:

To help the US government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

When you open an account, we will require your name, address, date of birth, and Social Security Number or other identifying number. We may also require other identifying documents. If we cannot verify the information you supply to us or if it is incomplete, we may be required to return your funds or redeem your account.

X

Designated Fiduciary

Date

X

Designated Fiduciary

Date

X

Plan Participant (Employee)

Date

FACTS

WHAT DOES NEUBERGER BERMAN DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security numbers, dates of birth and other numerical identifiers
- Names and addresses
- Driver’s licenses, passports and other identification documents
- Usernames and passwords
- Internet protocol addresses and other network activity information
- Income, credit history, credit scores, assets, transaction history and other financial information

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Neuberger Berman chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Neuberger Berman share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes— information about your creditworthiness	No	We don’t share
For nonaffiliates to market to you	No	We don’t share

Questions?

Call 646.497.4003 or 866.483.1046 (toll-free)
 Email NBPrivacyOfficer@nb.com

Who we are

Who is providing this notice?

Entities within the Neuberger Berman family of companies, mutual funds, and private investment funds.

What we do

How does Neuberger Berman protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include physical, electronic and procedural safeguards, including secured files and buildings.

We restrict access to customer information to those employees who need to know such information in order to perform their job responsibilities.

How does Neuberger Berman collect my personal information?

We collect your personal information directly from you or your representatives, for example, when you

- seek advice about your investments
- give us your contact or income information
- provide account information or open an account
- direct us to buy or sell securities, or complete other transactions
- visit one of our websites, portals or other online locations

We may also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates include companies with a Neuberger Berman name; financial companies, such as investment advisers or broker dealers; mutual funds, and private investment funds.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Nonaffiliates we share with can include companies that perform administrative services on our behalf (such as vendors that provide data processing, transaction processing, and printing services) or other companies such as brokers, dealers, or counterparties in connection with servicing your account.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Neuberger Berman doesn't jointly market.*